

# Méta-analyse et marqueurs biologiques : application chez les patientes atteintes de cancer du sein

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## **Trois exemples pronostiques**

- Les lymphocytes infiltrant les tumeurs chez les patientes atteintes d'un cancer du sein triple négatif de stade précoce
- Les cellules circulantes tumorales dans de cancer du sein métastatique
- Des signatures génomiques dans le cancer du sein de stade précoce

# 1) Stromal TILs: evaluate %TILs in the tumor stroma

#### review

Annals of Oncology 00: 1–13, 2014 doi:10.1093/annonc/mdu450

The evaluation of tumor-infiltrating lymphocytes (TILs) in breast cancer: recommendations by an International TILs Working Group 2014

- Ring studies to obtain reproducible measurements between pathologists !
- Protocol for pooled
  analysis

Salgado, Denkert et al, 2014







IPD: individual patient data; pts: patients, TILs: tumour infiltrating lymphocytes; sTIL: stromal TILs (primary biomarker); iTILs: intrautmoral TILs; CP: clinicopathological factors age, nodal status, tumour size, tumour grade, treatment (anthracycline or anthracycline plus taxanes)

Objectif primaire : évaluer la valeur pronostique de la présence de TILs dans le stroma tumoral dans les cancers du sein triple négatifs (TNBC)

# Obtaining high level of clincial validity for a biomarker: Tumour Infiltrating lymphocytes in triple negative breast cancer



OS

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## Obtaining high level of evidence for a biomarker: TILs example



| -1 Strata<br>< Strata<br>< Strata | 0 positive node and Stromal TILs >= 30%<br>-3 positive nodes and Stromal TILs < 30%<br>-3 positive nodes and Stromal TILs >= 30%<br>-3 positive nodes and Stromal TILs < 30%<br>-3 positive nodes and Stromal TILs >= 30% | 213<br>630<br>294<br>365<br>166 | 211<br>618<br>289<br>334<br>163 | 205<br>564<br>280<br>279<br>141 | 195<br>517<br>260<br>239<br>122 | 184<br>482<br>250<br>216<br>111 | 162<br>427<br>226<br>174<br>103 | 141<br>386<br>198<br>155<br>92 | 121<br>339<br>168<br>130<br>79 | 90<br>264<br>137<br>82<br>58 | 71<br>191<br>98<br>56<br>41 | 54<br>136<br>64<br>37<br>26 |  |
|-----------------------------------|---|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|---------------------------------|--------------------------------|--------------------------------|------------------------------|-----------------------------|-----------------------------|--|
|                                   |   | 5                               |                                 | -                               | 5                               |                                 | 5                               | 5                              |                                | 5                            | 5                           | 10                          |  |

Time since randomization (in years)

Survival probability

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# Added prognostic value

**Likelihood ratio test** for stromal tils and intratumoral TILs with or without adjustment on clinical factors (CP: age, tumor size, number of positive nodes, histological grade and treatment)

| n=1826                             | IDFS (608 | events)            | DDFS (482 | events)            | OS (438 eve | ents)              |
|------------------------------------|-----------|--------------------|-----------|--------------------|-------------|--------------------|
|                                    | $\chi^2$  | р                  | $\chi^2$  | р                  | $\chi^2$    | р                  |
| Stromal TILs vs<br>NULL            | 70.69     | < 10 <sup>-6</sup> | 89.13     | < 10 <sup>-6</sup> | 70.38       | < 10 <sup>-6</sup> |
| CP vs NULL                         | 138.78    | $< 10^{-6}$        | 179.58    | < 10 <sup>-6</sup> | 157.65      | < 10 <sup>-6</sup> |
| Stromal TILs+CP<br>vs NULL         | 187.69    | < 10 <sup>-6</sup> | 235.36    | < 10 <sup>-6</sup> | 206.12      | < 10 <sup>-6</sup> |
| Stromal TILs+CP<br>vs Stromal TILs | 117.00    | < 10 <sup>-6</sup> | 146.23    | < 10 <sup>-6</sup> | 135.74      | < 10 <sup>-6</sup> |
| Stromal TILs+CP<br>vs CP           | 48.91     | < 10 <sup>-6</sup> | 55.78     | < 10 <sup>-6</sup> | 48.47       | < 10 <sup>-6</sup> |

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# 5-year AUC in leave-one study out crossvalidation

(CP: age, tumor size, number of positive nodes, histological grade and treatment) using leave one study out cross-validation

| Score components                        | IDFS (414 events)    | DDFS (333 events)    | OS (300 events)      |
|---|----------------------|----------------------|----------------------|
| Stromal TILs                            | 0.597 [0.541; 0.659] | 0.604 [0.525; 0.672] | 0.586 [0.556; 0.671] |
| Intratumoral TILs                       | 0.597 [0.524; 0.659] | 0.601 [0.540; 0.668] | 0.580 [0.534; 0.627] |
| Stromal<br>TILs+Intratumoral<br>TILs    | 0.607 [0.560; 0.657] | 0.614 [0.557; 0.667] | 0.593 [0.567; 0.664] |
| СР                                      | 0.649 [0.547; 0.713] | 0.672 [0.549; 0.759] | 0.681 [0.563; 0.808] |
| Stromal TILs+CP                         | 0.681 [0.559; 0.756] | 0.701 [0.573; 0.793] | 0.694 [0.601; 0.769] |
| Intratumoral<br>TILs+CP                 | 0.673 [0.571; 0.714] | 0.692 [0.577; 0.780] | 0.689 [0.580; 0.781] |
| Stromal<br>TILs+Intratumoral<br>TILs+CP | 0.684 [0.566; 0.752] | 0.700 [0.573; 0.794] | 0.693 [0.603; 0.766] |

# Calibration in leave-one-study out crossvalidation



# Obtaining high level of evidence for a biomarker: TILs example



https://www.tilsinbreastcancer.org/prognosis-tool/

|            |       |        |       |       | J    |    |    |    |    |      |  |
|------------|-------|--------|-------|-------|------|----|----|----|----|------|--|
| 0          | 1     | 2      | 3     | 4     | 5    | 6  | 7  | 8  | 9  | 10   |  |
| Age (      | yea   | rs):   |       |       |      |    |    |    |    |      |  |
| 20         |       |        |       | 50    | )    |    |    |    |    | 85   |  |
|            |       |        |       | =€    | )    |    |    |    |    |      |  |
| 20         | 27    | 34     | 41    | 48    | 55   | 62 |    | 69 | 76 | 8385 |  |
| Num        | ber   | of po  | sitiv | e noc | les: |    |    |    |    |      |  |
| 0          |       |        |       |       |      |    |    |    |    | 20   |  |
| $\bigcirc$ |       |        |       |       |      |    |    |    |    |      |  |
| 0          | 2     | 4      | 6     | 8     | 10   | 12 | 14 | 16 | 18 | 20   |  |
| Tumo       | or si | ze (c  | m):   |       |      |    |    |    |    |      |  |
| ]0;        | 2]    |        |       |       |      |    |    |    |    | •    |  |
| Histo      | logi  | ical g | rade  |       |      |    |    |    |    |      |  |
| Gra        | de 1  | L or 2 |       |       |      |    |    |    |    | •    |  |
| Treat      | mei   | nt:    |       |       |      |    |    |    |    |      |  |
|            | hra   |        |       |       |      |    |    |    |    | -    |  |

# 2) Cellules tumorales circulantes

### **Goals:**

- Analysis in homogeneous fashion (both endpoints and biomarker data)
- Resolve conflicting results between studies (heterogeneity)
- Increase statistical power (published and unpublished)
- Adjust for clinicopathological factors
- Added value to established clinicopathological factors
- Subgroups

Bidard et al Lancet Oncol 2014

### **Studies included**





### **CTC** at baseline

| ≥5 CTC / 7.5mL were detected in 47% of the 1,944 patients at baseline |                |              |            |  |  |  |  |  |  |  |  |
|---|----------------|--------------|------------|--|--|--|--|--|--|--|--|
| 1st quartile  | Median         | 3rd quartile | Maximum    |  |  |  |  |  |  |  |  |
| 0 CTC   | 3 CTC          | 25 CTC       | 58160 CTC  |  |  |  |  |  |  |  |  |
|   |                |              |            |  |  |  |  |  |  |  |  |
| CTC count at baseline was associated with                             |                |              |            |  |  |  |  |  |  |  |  |
|   | First line (N= |              |            |  |  |  |  |  |  |  |  |
| Performance status  | p<0.0001       | p<0.0001     |            |  |  |  |  |  |  |  |  |
| Liver metastases  | p<0.0001       | p<0.0001     |            |  |  |  |  |  |  |  |  |
| Bone metastases   | p<0.0001       | p<0.0001     |            |  |  |  |  |  |  |  |  |
| Elevated CEA  | p<0.0001       | p<0.0001     | ≥5 CTC     |  |  |  |  |  |  |  |  |
| Elevated CA15-3   | p<0.0001       | p<0.0001     | HR+ 51%    |  |  |  |  |  |  |  |  |
| Tumor subtype   | p=0.71         | p<0.0001◀    | HER2+ 38%  |  |  |  |  |  |  |  |  |
|   |                |              | T. Neg 44% |  |  |  |  |  |  |  |  |



Bidard et al Lancet Oncol 2014

## **Early CTC changes during treatment**

### **Baseline & week 3-5 (landmark)**



## Added value to ClinicoPathological model

#### Jacknife Resampling procedure

|   | Model 1<br>average<br>c-index                                      | Model 2  | Model 2<br>average<br>c-index | Average c-index increase<br>model 2-model 1 (95% CI) | Average increase χ²<br>(95% CI) | Likelihood<br>ratio test<br>p value |  |  |  |  |  |  |
|---|--|--|-------------------------------|--|---------------------------------|-------------------------------------|--|--|--|--|--|--|
| Progression-free surviva                                  | al (N=1196 pat   | cients)  |                               |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP   | 0.668  | $CP+CTC_{BL}$ (< or $\geq 5$ CTC)                    | 0.684                         | 0.016 (0 to 0.029)                                   | 38·4 (21·9 to 60·3)             | <0.0001                             |  |  |  |  |  |  |
| Model 1: CP   | 0.668  | $CP+CTC_{BL}$ (splines)                              | 0.673                         | 0.005 (-0.001 to 0.010)                              | 18·7 (9·1 to 35·4)              | <0.0001                             |  |  |  |  |  |  |
| Overall survival (N=1501 patients)                        |  |  |                               |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP   | 0.714  | $CP+CTC_{BL}$ (< or $\geq 5$ CTC)                    | 0.745                         | 0.031 (0.013 to 0.047)                               | 64·9 (41·3 to 93·4)             | <0.0001                             |  |  |  |  |  |  |
| Model 1: CP   | 0.714  | $CP+CTC_{BL}$ (splines)                              | 0.721                         | 0.007 (0.001 to 0.014)                               | 21·2 (10·2 to 37·3)             | <0.0001                             |  |  |  |  |  |  |
| Progression-free surviva                                  | Progression-free survival, CTC count at weeks 3–5 (N=436 patients) |  |                               |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.652  | $CP + CTC_{BL} + CTC_{3-5}  (< or \ge 5  CTC)$       | 0.659                         | 0.008 (-0.009 to 0.021)                              | 8·2 (0·78 to 20·4)              | 0.004                               |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.652  | $CP+CTC_{BL}+CTC_{3-5}$ (splines)                    | 0.655                         | 0.004 (-0.009 to 0.017)                              | 7·4 (2·3 to 16·7)               | 0.02                                |  |  |  |  |  |  |
| Overall survival, CTC count at weeks 3–5 (N=568 patients) |  |  |                               |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.720  | $CP+CTC_{BL}+CTC_{3-5}($                             | 0.732                         | 0.011 (-0.008 to 0.027)                              | 11·5 (2·6 to 25·1)              | 0.0007                              |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.721  | $CP+CTC_{BL}+CTC_{3-5}$ (splines)                    | 0.725                         | 0.004 (-0.01 to 0.018)                               | 8·2 (3·4 to 23·7)               | 0.02                                |  |  |  |  |  |  |
| Progression-free surviva                                  | al, CTC count a  | t weeks 6–8 (N=279 patients)                         |                               |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.602  | $CP + CTC_{_{BL}} + CTC_{_{6-8}}  (< or \ge 5  CTC)$ | 0.628                         | 0·026 (0 to 0·053)                                   | 15·3 (5·2 to 28·3)              | <0.0001                             |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.601  | $CP+CTC_{BL}+CTC_{6-8}$ (splines)                    | 0.613                         | 0.012 (-0.01 to 0.036)                               | 10·2 (3·7 to 18·6)              | 0.006                               |  |  |  |  |  |  |
| Overall survival, CTC cou                                 | unt at weeks 6   | -8 (N=380 patients)                                  |                               |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.671  | $CP + CTC_{BL} + CTC_{6-8}  (< or \ge 5  CTC)$       | 0.686                         | 0.016 (-0.015 to 0.041)                              | 14·6 (4·0 to 30·6)              | 0.0001                              |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.670  | $CP+CTC_{BL}+CTC_{6-8}$ (splines)                    | 0.680                         | 0.010 (-0.028 to 0.051)                              | 10·6 (3·4 to 22·1)              | 0.005                               |  |  |  |  |  |  |
| Progression-free Surviv                                   | al, CTC count a  | vailable both at weeks 3–5 and 6–8                   | (N=184 pat                    | ients)   |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.560  | $CP+CTC_{BL}+CTC_{3-5}($                             | 0.579                         | 0.019 (-0.018 to 0.055)                              | 5·5 (0·66 to 12·7)              | 0.02                                |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.562  | $CP + CTC_{BL} + CTC_{6-8}  (< or \ge 5  CTC)$       | 0.590                         | 0.029 (-0.019 to 0.065)                              | 9·2 (2·1 to 18·1)               | 0.002                               |  |  |  |  |  |  |
| Overall survival, CTC cou                                 | unt available b  | oth at weeks 3–5 and 6–8 (N=216 p                    | atients)                      |  |                                 |                                     |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.617  | $CP + CTC_{BL} + CTC_{3-5}  (< or \ge 5  CTC)$       | 0.634                         | 0.017 (-0.027 to 0.057)                              | 7·2 (0·0 to 30·6)               | 0.007                               |  |  |  |  |  |  |
| Model 1: CP+CTC <sub>BL</sub>                             | 0.613  | $CP + CTC_{_{BL}} + CTC_{_{6-8}}  (< or \ge 5  CTC)$ | 0.633                         | 0.021 (-0.046 to 0.067)                              | 10·1 (2·2 to 20·9)              | 0.001                               |  |  |  |  |  |  |
|   |  |  |                               |  |                                 |                                     |  |  |  |  |  |  |

CTC=circulating tumour cells. CP=baseline clinicopathological model (appendix pp 3–5). CTC<sub>a1</sub>=CTC count at baseline. CTC<sub>a2</sub>=CTC count at 3–5 weeks. CTC<sub>a2</sub>=CTC count at 6-8 weeks.

Table 2: Assessment of added prognostic information of CTC at baseline and during treatment, by model 1

# 3) Gene modules and response to neoadjuvant chemotherapy in breast cancer subtypes: a pooled analysis (JCO 2012)

Efficacy and safety of neoadjuvant pertuzumab and trastuzumab in women with locally advanced, inflammatory, or early HER2-positive breast cancer (NeoSphere): a randomised multicentre, open-label, phase 2 trial

Luca Gianni, Tadeusz Pienkowski, Young-Hyuck Im, Laslo Roman, Ling-Ming Tseng, Mei-Ching Liu, Ana Lluch, Elżbieta Staroslawska, Juan de la Haba-Rodriguez, Seock-Ah Im, Jose Luiz Pedrini, Brigitte Poirier, Paolo Morandi, Vladimir Semiglazov, Vichien Srimuninnimit, Giulia Bianchi, Tania Szado, Jayantha Ratnayake, Graham Ross, Pinuccia Valagussa

Lapatinib with trastuzumab for HER2-positive early breast cancer (NeoALTTO): a randomised, open-label, multicentre, phase 3 trial

José Baselga, Ian Bradbury, Holger Eidtmann, Serena Di Cosimo, Evandro de A Veerle Van Dooren, Gursel Aktan, Aron Goldhirsch, Tsai-Wang Chang, Zsolt H-Georg Kunz, Joo Hyuk Sohn, Vladimir Semiglazov, Guillermo Lerzo, Marketa P Richard D Gelber, Martine Piccart-Gebhart, on behalf of the NeoALTTO Study

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#### Neoadjuvant Chemotherapy and Bevacizumab for HER2-Negative Breast Cancer

Gunter von Minckwitz, M.D., Holger Eidtmann, M.D., Mahdi Rezai, M.D., Peter A. Fasching, M.D., Hans Tesch, M.D., Holm Eggemann, M.D., Iris Schrader, M.D., Kornelia Kittel, M.D., Claus Hanusch, M.D., Rolf Kreienberg, M.D., Christine Solbach, M.D., Bernd Gerber, M.D., Christian Jackisch, M.D., Georg Kunz, M.D., Jens-Uwe Blohmer, M.D., Jens Huober, M.D., Maik Hauschild, M.D., Tanja Fehm, M.D., Berit Maria Müller, M.D., Carsten Denkert, M.D., Sibylle Loibl, M.D., Valentina Nekljudova, Ph.D., and Michael Untch, M.D., for the German Breast Group and the Arbeitsgemeinschaft Gynäkologische Onkologie–Breast Study Groups **Prognostic Signatures** 

**Chromosomal Instability** 

**Microenvironment** 

**Oncogenic Pathways** 

GGI Gene70 CIN70 Stroma1 Stroma2 Immune1 Immune2 RAS MAPK PTEN AKTmTOR PIK3CA IGF1 SRC MYC E2F3 BetaCatenin

## **Gene Modules**

$$\frac{\sum_{i \in n} W_i X_i}{\sum_{i \in n} W_i}$$

2.5% and 97.5% quantiles of Gene Modules scaled to [-1,1] within a study

## Key Issues in Conducting a Meta-Analysis of Gene Expression Microarray Datasets

Adaikalavan Ramasamy<sup>\*</sup>, Adrian Mondry, Chris C. Holmes, Douglas G. Altman

icroarray technology measures the mRNA levels of tens of thousands of genes in tissue samples simultaneously in a high-throughput and costeffective manner. Since its introduction over a decade ago [1], it has found widespread use in the fields of molecular genetics and functional genomics. It has been applied in order to understand underlying biological mechanisms [2], to discover novel subgroups of diseases [3–5], to examine drug response [6,7], to classify patients into disease groups [3], and to predict disease outcomes [8–10]. Some molecular signatures discovered with microarray technology are now being evaluated in prospective randomized clinical trials [11,12].

Despite their great prom report findings that are no to the mildest of data pertuinclude improper analysis of false positives, and inadequ The situation is exacerbate to large numbers of potent thousands of probes are in of biological samples.

Generalizability across st assessed before considering For example, the findings from a particular geograph

#### **Summary Points**

- Improvements in microarray technology and its increasing use have led to the generation of many highly complex datasets that often try to address similar biological questions.
- Meta-analysis, a statistical approach that combines results from independent but related studies, is a relatively inexpensive option that has the potential to increase both the statistical power and generalizability of single-study analysis.
- Meta-analysis of microarray datasets, and genomic data in general, is desirable, and is much enhanced when raw data are available.

#### OPEN ORCESS Freely available online

#### **Guidelines and Guidance**

#### Reporting Recommendations for Tumor Marker Prognostic Studies (REMARK): Explanation and Elaboration

#### Douglas G. Altman<sup>1</sup>\*, Lisa M. McShane<sup>2</sup>, Willi Sauerbrei<sup>3</sup>, Sheila E. Taube<sup>4</sup>

1 Centre for Statistics in Medicine, University of Oxford, Oxford, United Kingdom, 2 US National Cancer Institute, Bethesda, Maryland, United States of America, 3 Institut fuer Medizinische Biometrie und Medizinische Informatik, Universitaetsklinikum Freiburg, Freiburg, Germany, 4ST-Consulting, Bethesda, Maryland, United States of America

#### PLOS MEDICINE

# **Flow Chart**



# Included studies (all Affymetrix)

|                  | All Trials | EORTC          | 10994       | I-SPY-1                    | LBJ/INEN/<br>GEICAM        | MDAC                      | C Trial     | TOP                         | MAQCII/                    | MAQCIII                    | USO-02103                  |
|------------------|------------|----------------|-------------|----------------------------|----------------------------|---------------------------|-------------|-----------------------------|----------------------------|----------------------------|----------------------------|
| Characteristic   | (N = 996)  | A (n = 102)    | AT (n = 58) | AT $(n = 79)$              | AT $(n = 57)$              | A (n = 87)                | AT (n = 91) | A (n = 114)                 | AT $(n = 265)$             | A (n = 82)                 | AT $(n = 61)$              |
| Age, years       |            |                |             |                            |                            |                           |             |                             |                            |                            |                            |
| ≤ 50             | 528        | 38             | 30          | 51                         | 30                         | 52                        | 48          | 69                          | 127                        | 48                         | 35                         |
| > 50             | 432        | 28             | 28          | 28                         | 27                         | 35                        | 43          | 45                          | 138                        | 34                         | 26                         |
| Unknown          | 36         | 36             | 0           | 0                          | 0                          | 0                         | 0           | 0                           | 0                          | 0                          |                            |
| сТ               |            |                |             |                            |                            |                           |             |                             |                            |                            |                            |
| T0-1             | 65         | 2              | 1           | 1                          | 1                          | 5                         | 8           | 16                          | 26                         | 3                          | 2                          |
| T2               | 514        | 63             | 33          | 32                         | 19                         | 37                        | 39          | 79                          | 149                        | 44                         | 19                         |
| Т3               | 255        | 34             | 20          | 38                         | 18                         | 18                        | 19          | 5                           | 42                         | 21                         | 40                         |
| T4               | 154        | 0              | 0           | 8                          | 19                         | 26                        | 25          | 14                          | 48                         | 14                         | 0                          |
| Unknown          | 8          | 3              | 4           | 0                          | 0                          | 1                         | 0           | 0                           | 0                          | 0                          | 0                          |
| cN               |            |                |             |                            |                            |                           |             |                             |                            |                            |                            |
| NO               | 336        | 37             | 21          | 25                         | 16                         | 28                        | 31          | 52                          | 73                         | 33                         | 20                         |
| N1               | 465        | 55             | 28          | 46                         | 25                         | 33                        | 38          | 57                          | 119                        | 32                         | 32                         |
| N2               | 127        | 7              | 5           | 6                          | 15                         | 22                        | 16          | 3                           | 38                         | 10                         | 5                          |
| N3               | 55         | 0              | 0           | 2                          | 1                          | 3                         | 6           | 2                           | 35                         | 2                          | 4                          |
| Unknown          | 13         | 3              | 4           | 0                          | 0                          | 1                         | 0           | 0                           | 0                          | 5                          |                            |
| ER status*       |            |                |             | I I I Z Z                  |                            | TOF                       | 176         |                             |                            |                            |                            |
| Negative         | 562        | 65             | 58          | 36                         | 21                         | 38                        | 42          | 114                         | 117                        | 41                         | 30                         |
| Positive         | 434        | 37             | 0           | 43                         | 36                         | 49                        | 49          | 0                           | 148                        | 41                         | 31                         |
| HER2 status†     |            |                |             |                            |                            |                           |             |                             |                            |                            |                            |
| Negative         | 852        | 74             | 40          | 76                         | 57                         | 77                        | 75          | 81                          | 231                        | 82                         | 59                         |
| Positive         | 144        | 28             | 18          | 3                          | 0                          | 10                        | 16          | 33                          | 34                         | 0                          | 2                          |
| Histologic grade |            |                |             |                            |                            |                           |             |                             |                            |                            |                            |
| 1                | 47         | 2              | 0           | 6                          | 5                          | 5                         | 10          | 2                           | 13                         | 3                          | 1                          |
| 2                | 308        | 22             | 16          | 24                         | 19                         | 31                        | 30          | 20                          | 102                        | 25                         | 19                         |
| 3                | 503        | 32             | 37          | 27                         | 23                         | 36                        | 36          | 87                          | 150                        | 37                         | 38                         |
| Unknown          | 138        | 46             | 5           | 22                         | 10                         | 15                        | 15          | 5                           | 0                          | 17                         | 3                          |
| pCR              |            |                |             |                            |                            |                           |             |                             |                            |                            |                            |
| Yes              | 233        | 39             | 26          | 14                         | 11                         | 7                         | 19          | 16                          | 57                         | 24                         | 20                         |
| No               | 763        | 63             | 32          | 65                         | 46                         | 80                        | 72          | 98                          | 208                        | 58                         | 41                         |
| No. of relapses  | 117        | 0              |             | 16                         | 17                         | 0                         |             | 23                          | 48                         |                            | 13                         |
| No. of patients  |            |                |             | -                          |                            |                           |             |                             |                            |                            |                            |
| with follow-up   | 519        | 0              |             | 79                         | 57                         | 0                         |             | 102                         | 227                        |                            | 41                         |
| GEO              |            | GSE6861        |             | GSE25066                   | GSE25066                   | GSE20271                  |             | GSE16446                    | GSE20194                   | GSE22093                   | GSE23988                   |
|                  |            |                |             |                            |                            |                           |             |                             | GSE25066                   |                            | GSE25066                   |
| References       |            | Bonnefoi et al | 38          | Hatzis et al <sup>41</sup> | Hatzis et al <sup>41</sup> | Tabchy et al <sup>3</sup> | 39          | Desmedt et al <sup>37</sup> | Shi et al <sup>40</sup>    | Hatzis et al <sup>41</sup> | Hatzis et al <sup>41</sup> |
|                  |            |                |             |                            |                            |                           |             |                             | Hatzis et al <sup>41</sup> |                            | lwamoto et al'             |

## **Power calculation?**

- Scaled gene modules follow a normal distribution N(0,s=0.5).
- A 1-unit increase in scaled module scores would correspond to 2s.
- Overall pCR: 24%, ER-/HER2-: 25%, HER2: 36%, ER+/HER2-: 10%.

- Power for detecting an odds ratio of 2 in pCR for a 1-unit increase in a module score at the  $\alpha$ =0.05 with a 2-sided test, would be approximately above 99% for all pts and in the subtypes ER-/HER2-: 89% power, ER+/HER2- 54% and HER2+: 50%. - odds ratio of 3: power above 99% for all pts and inER-/HER2-: 99%, ER+/HER2-: 91% and HER2+: 88%.

- Assume the clinicopathological model and data set effect would explain 18% of the variation in pCR. For detecting an adjusted odds ratio of 2, the power would be approximately 97% for all patients, 76% for ER-/HER2-, 40% for ER+/HER2- and 37% for HER2+.

Hsieh et al Stat Med 1998

## **Gene Modules Correlation**



# **Clinicopathological model**

|                    |          |     |      | 95% CI | 95% CI |          |
|--------------------|----------|-----|------|--------|--------|----------|
|                    | Patients | pCR | OR   | (low)  | (high) | Р        |
| Age                |          |     |      |        |        |          |
| ≤ 50               | 457      | 104 | 1    |        |        |          |
| > 50               | 388      | 85  | 0.89 | 0.62   | 1.28   | 5.2E-01  |
| сТ                 |          |     |      |        |        |          |
| T0-1 & T2          | 514      | 124 | 1    |        |        |          |
| T3 &T4             | 331      | 65  | 0.59 | 0.40   | 0.87   | 9E-03    |
| Cn                 |          |     |      |        |        |          |
| NO                 | 300      | 63  | 1    |        |        |          |
| N1 & N2 & N3       | 545      | 126 | 0.99 | 0.68   | 1.47   | 9.8E-01  |
| Histological grade |          |     |      |        |        |          |
| 1&2                | 351      | 39  | 1    |        |        |          |
| 3                  | 494      | 150 | 2.48 | 1.60   | 3.92   | 6.6E-05  |
| ER status          |          |     |      |        |        |          |
| Negative           | 487      | 159 | 1    |        |        |          |
| Positive           | 358      | 30  | 0.24 | 0.15   | 0.40   | 2E-08    |
| HER2 status        |          |     |      |        |        |          |
| Negative           | 729      | 147 | 1    |        |        |          |
| Positive           | 116      | 42  | 2.41 | 1.48   | 3.92   | 4E-04    |
| Treatment          |          |     |      |        |        |          |
| Anthracyclines     | 293      | 61  | 1    |        |        |          |
| Anthracyclines&    |          |     |      |        |        |          |
| taxanes            | 552      | 128 | 1.39 | 0.73   | 2.67   | 3.2E-01  |
| Study              |          |     |      |        |        |          |
| EORTC10994         | 103      | 45  | 1    |        |        |          |
| I-SPY-1            | 57       | 11  | 0.62 | 0.25   | 1.51   | 3E-01    |
| LBJ/IN/GEI         | 47       | 7   | 0.59 | 0.20   | 1.61   | 3.2E-01  |
| MAQCIII            | 60       | 18  | 1.39 | 0.61   | 3.14   | 4.3 E-01 |
| MAQCII/ MDACC      | 265      | 57  | 0.56 | 0.29   | 1.05   | 7E-02    |
| MDACC trial        | 146      | 18  | 0.35 | 0.17   | 0.68   | 2E-03    |
| TOP                | 109      | 15  | 0.16 | 0.07   | 0.35   | 4.7E-06  |
| USO-02103          | 58       | 18  | 1.18 | 0.51   | 2.74   | 7E-01    |

# All patients, multivariate

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ALL (845 pts, 189 pCR)



|             | OR   | 95% C       | X P     | FDR     |   |
|-------------|------|-------------|---------|---------|---|
| GGI         | 1.7  | (1.12,2.6)  | 1.3E-02 | 3.7E–02 |   |
| Gene70      | 2.02 | (1.29,3.2)  | 2.4E-03 | 1.3E–02 |   |
| CIN70       | 1.61 | (1.08,2.42) | 2.1E-02 | 5.1E–02 |   |
| Stroma1     | 0.73 | (0.49,1.06) | 1.0E–01 | 2.1E–01 | _ |
| Stroma2     | 0.74 | (0.5,1.07)  | 1.1E–01 | 2.1E–01 |   |
| Immune1     | 1.92 | (1.36,2.73) | 2.2E-04 | 3.7E-03 |   |
| Immune2     | 1.78 | (1.25,2.53) | 1.3E-03 | 1.1E–02 |   |
| RAS         | 0.82 | (0.57,1.18) | 3.0E–01 | 4.9E–01 | - |
| MAPK        | 0.85 | (0.56,1.27) | 4.2E–01 | 6.0E–01 | - |
| PTEN        | 1.75 | (1.18,2.62) | 5.8E-03 | 2.5E-02 |   |
| AKTmTOR     | 0.84 | (0.59,1.19) | 3.2E–01 | 4.9E–01 | - |
| PIK3CA      | 1.01 | (0.67,1.53) | 9.5E–01 | 9.5E–01 |   |
| IGF1        | 0.97 | (0.65,1.45) | 8.9E–01 | 9.5E–01 | - |
| SRC         | 1.02 | (0.71,1.47) | 9.1E–01 | 9.5E–01 | - |
| MYC         | 1.1  | (0.78,1.56) | 5.8E–01 | 7.6E–01 | - |
| E2F3        | 1.6  | (1.12,2.3)  | 1.1E–02 | 3.7E-02 |   |
| BetaCatenin | 0.98 | (0.68,1.43) | 9.4E–01 | 9.5E–01 | - |
|             |      |             |         |         |   |

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OR: odds ratio, FDR: false discovery rate

Odds Ratio

0.25 1 5 10 20 Odds Ratio

Salanan Salanan Salanan

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ANTIMAR .



RAS

IGF1

SRC

MYC



В

| GGI<br>Gene70<br>CIN70<br>Stroma1<br>Stroma2<br>Immune1<br>Immune2<br>RAS<br>MAPK<br>PTEN<br>AKTMTOR<br>PIK3CA<br>IGF1<br>SRC | OR<br>1.59<br>2.11<br>1.47<br>0.65<br>0.66<br>1.76<br>1.49<br>0.77<br>0.81<br>1.71<br>0.84<br>1<br>0.79<br>1.02 | 95% C<br>(0.91,2.81)<br>(1.12,4.03)<br>(0.88,2.47)<br>(0.38,1.08)<br>(0.4,1.08)<br>(1.13,2.76)<br>(0.96,2.31)<br>(0.5,1.19)<br>(0.47,1.38)<br>(1.04,2.85)<br>(0.54,1.3)<br>(0.55,1.8)<br>(0.46,1.34)<br>(0.46,1.34) | P<br>1.0E-01<br>2.2E-02<br>1.4E-01<br>9.7E-02<br>9.9E-02<br>1.3E-02<br>7.4E-02<br>2.4E-01<br>4.3E-01<br>3.7E-02<br>4.3E-01<br>9.9E-01<br>3.8E-01<br>9.5E-01 | FDR<br>2.2E-01<br>1.6E-01<br>2.2E-01<br>2.2E-01<br>2.2E-01<br>2.2E-01<br>4.1E-01<br>5.7E-01<br>9.9E-01<br>5.7E-01<br>9.9E-01 | ┝┿╋╪╪<br>╪╪ <sup>┿╋┿</sup> ╋╈╋╋┿┿┿┿┿ |
|---|---|---|---|--|--------------------------------------|
| PIK3CA<br>IGF1<br>SBC   | 1<br>0.79<br>1.02   | (0.55, 1.8)<br>(0.46, 1.34)<br>(0.62, 1.65)   | 9.9E-01<br>3.8E-01  | 9.9E-01<br>5.7E-01   |                                      |
| MYC<br>E2F3<br>BetaCatenin  | 1.13<br>1.67<br>1.12  | (0.73, 1.75)<br>(1.06, 2.67)<br>(0.69, 1.82)  | 5.7E-01<br>2.9E-02<br>6.4E-01   | 6.9E-01<br>1.6E-01<br>7.3E-01  |                                      |

5 10 20 0.25 1

Odds Ratio

#### ER+/HER2

#### (335 pts, 27 pCR)

|             | OR   | 95% C       | N P     | FDR     | 1            |         |
|-------------|------|-------------|---------|---------|--------------|---------|
| GGI         | 3.19 | (1.26,8.72) | 1.8E-02 | 1.0E-01 | -            |         |
| Gene70      | 3.43 | (1.25,9.66) | 1.8E-02 | 1.0E-01 | _            |         |
| CIN70       | 3.38 | (1.31,9.35) | 1.4E-02 | 1.0E-01 | -            |         |
| Stroma1     | 1.05 | (0.45,2.42) | 9.1E-01 | 9.1E-01 |              |         |
| Stroma2     | 1.33 | (0.57,3.1)  | 5.1E-01 | 6.7E-01 |              |         |
| Immune1     | 1.4  | (0.59,3.15) | 4.3E-01 | 6.1E-01 |              |         |
| Immune2     | 1.71 | (0.7,4.17)  | 2.4E-01 | 4.4E-01 |              |         |
| RAS         | 1.81 | (0.64,5)    | 2.6E-01 | 4.4E-01 |              |         |
| MAPK        | 0.86 | (0.32,2.16) | 7.5E-01 | 8.5E-01 |              |         |
| PTEN        | 3.06 | (1.1,8.94)  | 3.5E-02 | 1.2E-01 | _            |         |
| AKTmTOR     | 1.78 | (0.66,5.02) | 2.6E-01 | 4.4E-01 |              |         |
| PIK3CA      | 0.84 | (0.38,1.83) | 6.6E-01 | 8.0E-01 |              | _       |
| IGF1        | 1.78 | (0.65,4.96) | 2.6E-01 | 4.4E-01 |              |         |
| SRC         | 0.63 | (0.23,1.63) | 3.5E-01 | 5.4E-01 |              |         |
| MYC         | 1.07 | (0.41,2.73) | 8.9E-01 | 9.1E-01 |              |         |
| E2F3        | 2.56 | (1.14,5.97) | 2.5E-02 | 1.1E-01 |              | -8      |
| BetaCatenin | 0.43 | (0.17,1.09) | 7.5E-02 | 2.1E-01 | $\leftarrow$ |         |
|             |      |             |         |         | <b> </b>     |         |
|             |      |             |         |         | 0.25 1       | 5 10 20 |

Odds Ratio

Odds Ratio

# Effect of age (restricted cubic splines)



A test for association (likelihood ratio test, 2 df) between the gene module and pCR and a test for non-linearity (1 df) were applied.

Harrell, Regression modelling strategies, Springer, 2011







Linearity of modules?

## Thank you for your attention!



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